

X-pand into the Future

### eurex Announcement

## Seventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland

On 26 March 2020, the Exchange Council of Eurex Deutschland approved the Seventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland.

It shall take effect on 03 August 2020.

The Amendment Ordinance is available on the website of Eurex Deutschland (<a href="http://www.eurexchange.com">http://www.eurexchange.com</a>) and has been deposited in the Eurex Deutschland folder ("Präsenzordner Regelwerke"), which can be obtained during general opening hours at the reception desk of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany.

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# **Seventh Amendment Ordinance to the Exchange Rules of Eurex Deutschland**

Article 1 Amendment to the Exchange Rules of Eurex Deutschland in the version dated 3 January 2018, last amended by the Amendment Ordinance dated 08 November 2019

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

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[...]

#### V. Section Access to the Trading System

[...]

### 4. Sub-Section Technical Emergency

#### § 59 Measures upon Technical Problems

[...]

- (7) In the event that the Participant Front End System or other EDP system of an Exchange Participant is non-functional <u>or in the event of a partial failure of the Exchange-EDP</u>, the Management Board of Eurex Deutschland may, upon request <u>of the Exchange Participant</u>
  - a) provide information on the relevant Exchange Participant's orders and quotes
     as well as on transactions concluded by such Exchange Participant,
  - b) enter, change and delete orders and quotes in the Exchange-EDP and make other entries in the Exchange-EDP on behalf of such Exchange Participant.

In the case of letter a) above, the Exchange Participant has to verify its identity by means of the active user ID communicated to such Exchange Participant.

In the case of letter b) above, in addition to the active user ID, the Exchange Participant must also verify its identity by means of a PIN number.

The Exchange Participant has to ensure that the active user ID and PIN number are only used by an authorised person. Further details are determined by the Management Board.

enter data into the trading system of Eurex Deutschland on behalf of such Exchange Participant. In this case, Eurex Deutschland will verify the legitimation for data entry on the basis of the active user ID notified to it. As alternative to the legitimation regulated in Clause 2 by way of active user ID, the Management Board of Eurex Deutschland arranges for a PIN number to legitimate an Exchange Participant. Exchange Participants have to declare vis-à-vis the Management Board of Eurex Deutschland in writing their choice of the PIN procedure.

[...]

[...]

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#### Article 2 Effective Date

Article 1 shall become effective on 03 August 2020.

The foregoing Seventh Amendment Ordinance to the Exchange Rules for Eurex Deutschland is hereby executed. Pursuant to the decision of the Exchange Council of Eurex Deutschland dated 26 March 2020, the Amendment Ordinance shall take effect on 03 August 2020.

The Hessian Ministry of Economics, Energy, Transport and Housing has given its approval required pursuant to § 16 Paragraph 3 of the Exchange Act in its letter dated 06 April 2020 (File No: III 7- 37 d 04.05.02#012).

The Amendment Ordinance shall be announced by notice in the reception area of the trading floor, Börsenplatz 4, 60313 Frankfurt/Main, Germany and by electronic publication on the internet, available on the website of Eurex (<a href="http://www.eurexchange.com">http://www.eurexchange.com</a>).

Frankfurt/Main, 09 April 2020

Management Board of Eurex Deutschland

Dr. Randolf Roth

Michael Peters