
[...]

Part III

Fees for the admission of securities to trading (admission fee), for the inclusion of securities in trading (inclusion fee) and for the revocation of admission and inclusion (revocation fee)

§ 11 Admission of securities to the Regulated Market (admission fee)

(1) A fee as defined in Table IV shall be levied for admission to the Regulated Market, or to admission to a segment of the Regulated Market with additional obligations contingent upon said admission, provided that the current Regulations contain no other provision to the contrary hereinafter. The fee which is levied for admission of certificates, reverse convertibles and warrants may not exceed EUR ~~1035,000~~* per issuer and year. A fee of EUR 600 shall be levied for the admission of debt securities of an ~~credit~~ institute issuer which is constantly or repeatedly issuing debt securities admitted on the Frankfurter Wertpapierbörse.- If debt securities have already been admitted pursuant to sentence 3, each further admission of these debt securities shall attract a fee in the amount of EUR 300.

(2) In the following cases:

1. retraction of an admission application,
2. other settlement of an admission procedure before a decision is taken on the application,

the Management Board may determine the fee at their reasonably exercised discretion, taking all circumstances into account, and especially the difficulty of the review procedure performed. The fees shall not exceed the fee to be set according to paragraph (1) herein.

[...]

§ 12 Inclusion of securities in trading on the Regulated Market (inclusion fee)

A fee as in Table V shall be levied on the inclusion of securities in trading on the Regulated Market, unless the inclusion takes place officially. The fee which is levied for inclusion of certificates, reverse convertibles and warrants may not exceed EUR ~~1035,000~~* per issuer and year.

[...]

* Amendment effective from January 1, 2010.

Part IV

Fees for the introduction of securities on the stock exchange (introduction fee)

§ 14 Introduction fee

A fee as in Table VII shall be levied for trading (introduction) of securities on the Regulated Market or a segment of the Regulated Market, with further obligations contingent upon said admission. The fee which is levied for the introduction of certificates, reverse convertibles and warrants may not exceed EUR 15,000* per issuer and year.

[...]

Part VIII

§ 18 Interim Solutions

(1) Provided that an admission of securities for trading at the - Regulated Market or at a segment of the Official or Regulated Market with additional obligations had been applied for before July 1, 2005, the Fee Regulations valid as per February 1, 2005 apply with regard to the admission and introduction fee with the provision that the Management Board takes over the duties of the Admissions Office. The same applies for proceedings of revocation of admission of securities for trading at the Official or Regulated Market or at a segment of the Official or Regulated Market with additional obligations applied for or commenced ex officio.

(2) For admission of debt securities having been applied for by credit institutions up to 11 October 2009, § 11 Paragraph 1 Clause 3 in the version up to 11 October 2009 shall apply.

[...]

* Amendment effective from January 1, 2010.
