

Fee Regulations for Eurex Deutschland

As of 01.12.2022

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§ 1 Fee Facts, Basic Principles

- (1) Fees are levied for
 1. admission to participation in exchange trading,
 2. participation in exchange trading, and
 3. participation in the Exchange trader examination.
- (2) In addition, reimbursement of expenses may be demanded.
- (3) The definitions pursuant to Annex I of the Exchange Rules of Eurex Deutschland (Exchange Rules) shall apply. Unless otherwise specified, in the event of a conflict between regulations of Exchange Rules, Conditions for Trading, Contract Specifications and other rules and regulations of Eurex Deutschland, the following hierarchy shall apply in the following order: (i) Exchange Rules, (ii) Conditions for Trading, (iii) Contract Specifications, (iv) Exchange Admission Regulations, (v) Fee Regulations and (vi) other administrative acts and general orders issued by Eurex Deutschland.

§ 2 Participation Fee

- (1) The annual fee for participation in exchange trading is determined by the Management Board.
- (2) For Admitted Companies in the context of a cooperation arrangement which Eurex Deutschland has entered into with another exchange, the Management Board may reduce the annual basic fee, provided that the Admitted Companies of Eurex Deutschland intending – the context of such cooperation arrangement – to trade on such other exchange are required to pay no or a correspondingly reduced fee to such exchange.
- (3) Admitted Companies who submit an application for cancellation or price correction of a Transaction pursuant to the Conditions for Trading shall be charged a special participation fee for the processing of such application. This fee shall amount to EUR 500 per application. To the extent several Transactions, whose cancellation or price correction has been applied for, have been concluded as a result of the execution of an order or a quote (partial executions), this fee shall only be charged once.
- (4) In deviation to Paragraph 3, Admitted Companies who submit an application for cancellation of an off-book Transaction pursuant to Number 4.10.1 of the Conditions for Trading, shall be charged a special participation fee of EUR 100 for the processing of such application by each Admitted Company involved in the Transaction. To submit an application for cancellation of Eurex EnLight Transactions

pursuant to Number 4.10.2 of the Conditions for Trading § 2 Paragraph 3 shall apply accordingly.

§ 3 Assessment Rate

The annual fee pursuant to § 2 corresponds to an assessment rate of 100%. The Management Board may increase or decrease this assessment rate in the course of a calendar year on a quarterly basis in view of the changes in actual costs; in doing so, the Management Board may set differing rates of assessment.

§ 4 Due Dates

- (1) Fees and expenses shall be due upon notification of the fee decision to the fee debtor, unless a later date is determined.
- (2) The obligation to pay current fees shall begin as of the quarter in which the requirements for the payment of fees are first met; the obligation to pay fees shall expire as of the end of the quarter in which the requirements for the payment of fees cease to exist.
- (3) A pro-rata remuneration of the fees and expenses shall not take place.

§ 5 Fee Debtor

- (1) Fees according to § 1 Paragraph 1 Number 1 and 2 are owed by the admitted company.
- (2) As regards the fees according to § 1 Paragraph 1 Number 3, and expenses according to § 1 Paragraph 2, the applicant is obligated to pay.

§ 6 Fee Creditor

Eurex Deutschland is the fee creditor. Eurex Deutschland shall immediately pay out the fees to the operating institution.

§ 7 Deferral, Waiver and Abolition of Fees

If justified by the particular circumstances of an individual case, the Management Board may, upon request, defer or waive in full or in part or abolish any fees if their collection would involve substantial costs or cause major hardship or unfairness for the party liable for such payment.

§ 8 Admission Fee

- (1) Each Admitted Company shall be required to pay a one-time admission fee on the occasion of its admission to Exchange Trading on Eurex Deutschland. The one-time admission fee shall be determined by the Management Board.
- (2) If admission is granted in the context of a cooperation arrangement pursuant to § 2 Paragraph 2, the Management Board may in appropriate circumstances set a lower admission fee or completely waive the fee.

§ 9 Fees for Participation in the Exchange Trader Examination (Examination Fee)

- (1) For participation in the examination to determine the professional qualification to act as Exchange Trader, a fee in the amount of EUR 200 shall be levied.
- (2) In case of a withdrawal from the Exchange Trader Examination up to three weeks before start of the examination, a fee in the amount of EUR 50 shall be levied.
- (3) In case of a withdrawal from the Exchange Trader Examination within three weeks before start of the examination, a fee in the amount of EUR 200 shall be levied.

§ 10 Appeal

Against all decisions passed pursuant to these Fee Regulation, filings before the administrative court are applicable.

§ 11 Effectiveness

These Fee Regulations shall become effective as of November 1, 2007.