

Chapter I of the Clearing Conditions of Eurex Clearing AG

General Provisions

As of 03.04.2023

AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED;

DELETIONS ARE CROSSED OUT

[...]

Part 1 General Clearing Provisions

[...]

15 Transmission of information by Eurex Clearing AG; Outsourcing of Clearing Functions

15.1 Transmission of information relating to Clearing Members, Clearing Agents, Disclosed Direct Clients and ISA Direct Clearing Members by Eurex Clearing AG

[...]

15.1.2 [...]

15.1.3 Notwithstanding the provisions in Number 15.1.1, Eurex Clearing AG shall be entitled to disclose all data and information relating to Eurex Transactions of the relevant Clearing Member (including Eurex Transactions qualifying as Own Transactions and Client-Related Transactions) to the Trading Surveillance Office (*Handelsüberwachungsstelle*) of the Eurex Exchange by granting the Trading Surveillance Office access to the clearing system of Eurex Clearing AG for the purpose of monitoring position limits in accordance with Section 14 of the Eurex Exchange Rules.

15.1.43 Notwithstanding the provisions in Number 15.1.1, Eurex Clearing AG shall also be entitled to transmit or to request from clearing and settlement institutions or independent auditors which are subject to confidentiality regulations comparable to those applicable to Eurex Clearing AG, all data and information which refer to Clearing Members, Clearing Agents, Disclosed Direct Clients and ISA Direct Clearing Members and which are necessary for the orderly conduct of the Clearing and for the fulfilment of Transactions.

15.1.54 The Clearing Member agrees to obtain written (*Textform*) consent of each of its Disclosed Direct Clients to the transmission of information related to such Disclosed Direct Client by Eurex Clearing AG pursuant to this Number 15.

[...]
