

User guide

Buy-in Account Request

C7 CAS Release 2.278

This document is valid in
Production from: 12 Jun 2023

Version	1
Date	09 Jun 2023

Buy-in Account Request

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1 General information on Buy-in Account Requests

With C7 CAS Release 2.278, buy-in account requests are introduced. The goal of these changes is to enable clearing members to manage buy-in accounts digitally. C7 CAS offers Clearing Members to view their current buy-in account set ups, to request new accounts, and to request changes to or deletion of existing accounts.

After login to C7 CAS, an overview of all requests is presented. From this overview, click on “[Change Requests](#)” > “[Buy-in Account Request](#)” to access an overview of all buy-in account requests.

The screenshot displays the EUREX C7 CAS interface for 'Buy-in Account Requests'. At the top, there is a navigation bar with 'My Setup', 'Admissions', and 'Change Requests' menus. The user is logged in as 'kam1@deutsche-boerse.de'. Below the navigation, there is a 'Buy-in Account Requests' section with a 'New Request' button. A table lists the requests with the following columns: ID, Date (mm/dd/yyyy), Clearer ID, Type, State, and Created By. The table contains one entry with ID 12, Date 5/31/2023, Clearer ID PAKFR, Type SETUP (highlighted in green), State NEW, and Created By kam1@deutsche-boerse.de. A pagination control shows '1' of 1 items.

Notes:

There are three types of requests:

- **Setup:** This type is indicated when a new account is being requested. It signifies the creation of a new setup.
- **Change:** This type applies when modifications are made to an existing account. It shows that adjustments have been requested.
- **Remove:** This indicates that an account is being deleted.

(If a single request includes setup, change and remove actions, all three types would be displayed.)

1.1 Statuses

There are different possible statuses for buy-in account requests:

1.1.1 New

In status “New”, a buy-in account request has been started and saved, but it has not yet been submitted to ECAG. The request can be edited and deleted.

1.1.2 Pending

In status “Pending”, a buy-in account request has been finalized by the client and submitted to ECAG. It has not yet been checked by ECAG. It is possible to view the request, but it cannot be edited or deleted. If a request in “Pending” status needs to be cancelled, please contact the corresponding ECAG onboarding manager.

1.1.3 Accepted

In status “Accepted”, a buy-in account request that had been submitted to ECAG has been reviewed. As no errors were identified, that request was accepted by ECAG. In this status, the request is finalized and it has been sent for processing in ECAG internal systems.

1.1.4 Rejected

In status “Rejected”, a buy-in account request that had been submitted to ECAG has been reviewed, but one or more errors have been identified. Therefore, the request was rejected by ECAG. This exact request cannot be edited, but it can be cloned, which means that a new buy-in account requests with all previously entered data is opened. That new request can be edited and (re-)submitted to ECAG for review and acceptance.

1.1.5 Cancelled

In status “Cancelled”, a buy-in account request has been cancelled before it has been sent to ECAG. It is now possible anymore to edit or (re-)submit this request to ECAG for review and acceptance.

1.2 Actions on Buy-in Account Request Overview

1.2.1 New Buy-in Account Request

To start a new buy-in account request, select “[Change Request](#)” > “[Buy-in Account Request](#)”. A new request can be created by clicking on the button “New Request”.



1.2.2 Search / Filter

It is possible to filter for a “[Buy-in Account Request ID](#)” or “[Clearer ID](#)” to the top of the overview, it is also possible to perform a search, e.g., for a specific name.

1.2.3 View

Regardless of the status the buy-in account request is in, it can be opened, and the entered data can be viewed.

1.2.4 Change

In the overview “[Change Request](#)” > “[Buy-in Account Request](#)” the buy-in account details can be changed by clicking on . The opened buy-in account setup can be edited by clicking on .

1.2.5 Clone

If a buy-in account request had been submitted to ECAG, but was rejected, it can be cloned. Essentially this means, that a new buy-in account request is created and all previously entered data is prefilled in that new request. This feature enables the correction of data before it is resubmitted to ECAG.

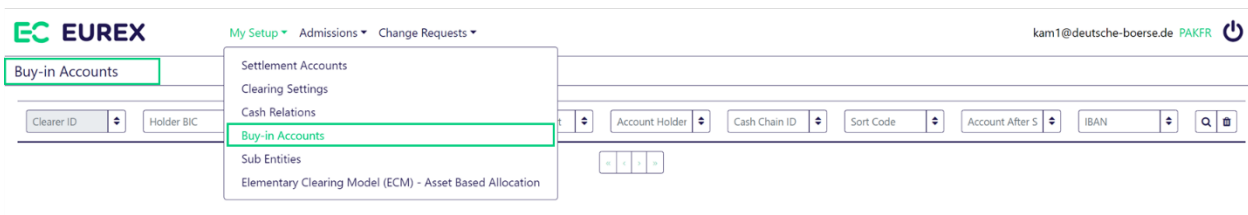
1.2.6 Delete

As long as a buy-in account request has not been submitted to ECAG, it can be deleted.

2 My Setup

2.1 Buy-in Accounts

You have the possibility to get an overview about all existing buy-in accounts by clicking on “My Setup” > “Buy-in Accounts”.



3 Request new Buy-in Account

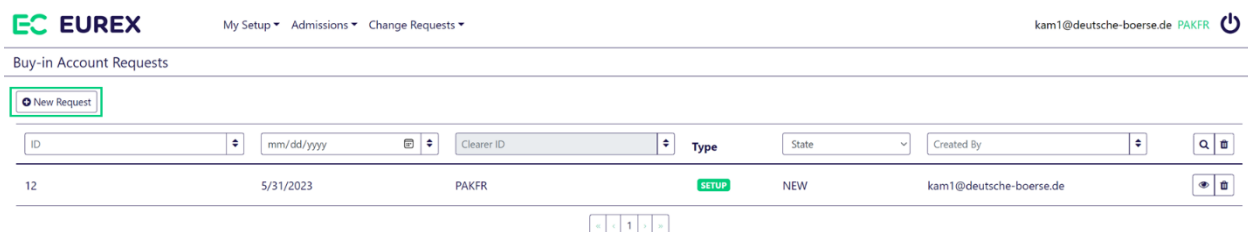
This chapter describes the new request for buy-in accounts.

3.1 Start New Buy-in Account Request

Log in to C7 CAS. On the home screen, click on “Change Request” > “Buy-in Account Request”.



In the upcoming overview page “Buy-in Account Requests”, please click the button “New Request”.

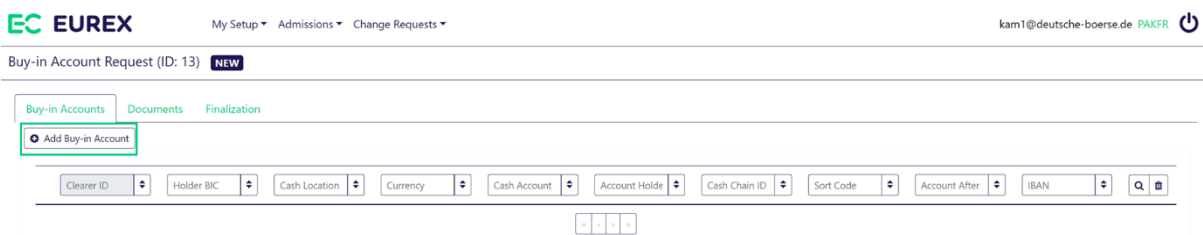


A detailed overview of a pending request can be accessed by selecting the eye icon. A list of existing buy-in accounts assigned to that client, including the newly requested account are displayed in the request. A dedicated icon is highlighting the changes to the buy-in account setup of the client. Please note that one request can include multiple setups of new accounts, changes to existing ones and/or deletions.

3.2 Create new Buy-in Account Request

With starting a new request, a new record for a buy-in account is generated and the “Buy-in Accounts” tab opens. In the upcoming screen, you have an overview of all buy-in account relevant details. There is also a filter and search functionality.

Click on “Add Buy-in Account”.

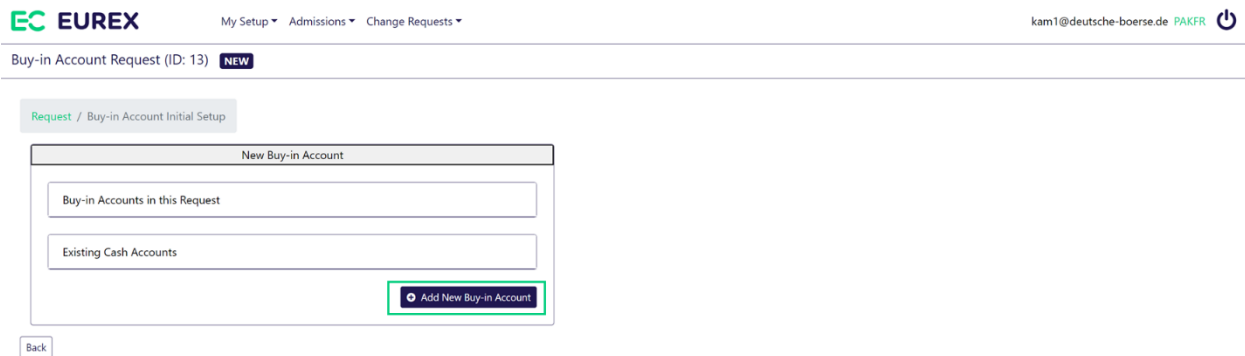


3.3 Buy-in Account Initial Setup tab

In case of a new account, no data for “Buy-in Accounts in this request” and “Existing Cash Accounts” are prefilled or selectable.

If you have already added a buy-in account previously in this request, it is possible to select them and assign it to the request.

Click on “Add New Buy-in Account”.



3.4 Buy-in Account Currency tab

Select the “Buy-in Account Currency” and the “Buy-in Account Location” via dropdown.

Request / Buy-in Account Initial Setup / Buy-in Account Currency

Buy-in Account Currency & Location

Currency
EUR

Location

Back Next

When the currency and location are entered click on “Next”.

3.5 Buy-in Account Setup tab

On the “Buy-in Account Setup” tab, you see the selected currency and cash location. Please enter data for “Account Holder”, “Cash Account Holder BIC” and “Cash Account Number”.

Request / Buy-in Account Initial Setup / Buy-in Account Currency / Buy-in Account Setup

Buy-in Account

Currency:
EUR

Cash Location:

Account Holder ⓘ
Account Holder

Cash Account Holder BIC ⓘ
Cash Account Holder BIC

Cash Account Number ⓘ
Cash Account Number

Back Next

With clicking “Next” the “Buy-in Account Setup Final” tab opens.

Notes:

- The right format for each attribute can be found by hovering over the information icon.

3.6 Buy-in Account Setup final tab

Once all required data has been entered in the new buy-in account request, click “Done”, to display an overview with the new buy-in account.

EC EUREX My Setup ▾ Admissions ▾ Change Requests ▾ kam1@deutsche-boerse.de PAKFR ⚙

Buy-in Account Request (ID: 14) **NEW**

Request / Buy-in Account Initial Setup / Buy-in Account Currency / Buy-in Account Setup / Buy-in Account Setup Final

Buy-in Account

Currency:
EUR

Cash Location:




Account Holder ⓘ
PAKFR

Cash Account Holder BIC ⓘ
ASDFGHJKLBN

Cash Account Number ⓘ
12345678901234567890

Back Done

Available icons:

-  marking a change to an existing settlement account
-  representing a newly added settlement account
-  highlighting a deletion of an existing settlement account

Notes:

- This is an information only tab. No data can be entered here.

Buy-in Account Request

3.7 Documents tab

When the steps described in chapter 3 “New Request for Buy-in Accounts” are done, please proceed with the next steps described in this chapter.

Click on the “Documents” tab.

3.7.1 Template Information on Correspondent Bank- Use of Accounts

On the documents tab download the template “Information on Correspondent Bank- Use of Accounts”, Sign it and upload it again.

EUREX Information on Correspondent Bank Use of Accounts

(To be completed by customers of Eurex Clearing AG)

Eurex Clearing AG
 Client Services, Trading & Clearing
 60485 Frankfurt am Main
 Germany

Applicant
 Name of the Account Owner
 Address 1
 Address 2
 Zip Code City
 Country

Member-ID

Use of Accounts

On behalf of the above-mentioned account owner ("Account Owner"), I / we herewith notify Eurex Clearing AG that the Account Owner acts as Correspondent Bank for the Clearing Member(s) of Eurex Clearing AG listed in below table within the meaning of the Clearing Conditions for Eurex Clearing AG.

For clearing transactions included in the clearing process of Eurex Clearing AG for the Clearing Members of Eurex Clearing AG listed below, Eurex Clearing AG will be authorized on the basis of powers of attorney and debit orders issued separately to use the Account Owner's accounts listed in below table.

The Account Owner undertakes to inform Eurex Clearing AG without delay per fax in the event that the account information communicated with this form changes or does no longer apply for any other reason.

Account-keeping Institute	Account Number	Settlement of transactions of the Clearing Member	BIC of account holder

Date Place Company stamp and authorized signature (Company name in print letters)

Please return the form via email to your Onboarding Manager/Key Account Manager or if not yet known send the form to client.services@deutsche-boerse.com.

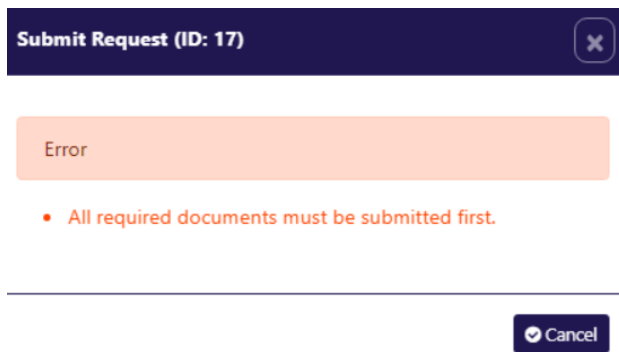
08/18 Privacy Notice: www.eurex.com/ec-en/privacy-notice 1/1

After uploading the document, it can be downloaded or viewed at any time.



Notes:

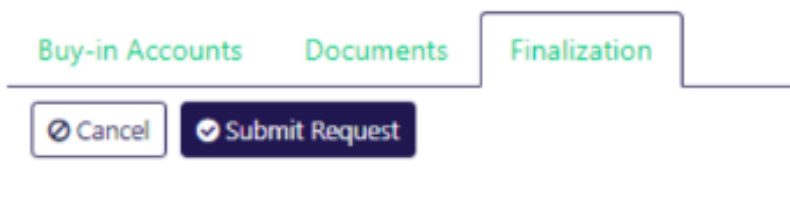
- This step is required. When no Document is uploaded, you are asked to upload the missing document.



Once all required data has been entered in the buy-in account request, please switch to the “Finalization” tab.

3.8 Finalizing New Request for Buy-in Accounts

This chapter describes the finalization and submission of the buy-in account request to ECAG.




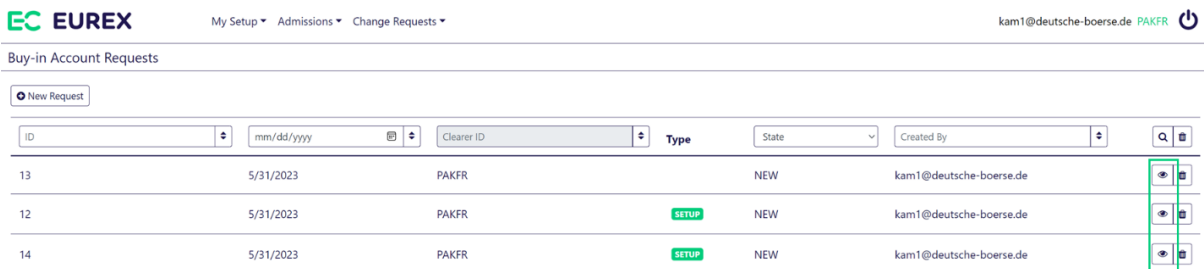
On the “Finalization” tab the request can be submitted and sent to ECAG by clicking on the button “Submit Request”.




Now the buy-in account request is in status “Pending”.

4 Maintenance of Buy-in Account





This chapter describes how to make changes in a buy-in account request.

In the overview “[Change Request](#)” > “[Buy-in Account Request](#)” you have the possibility to change the buy-in account details by clicking on  .

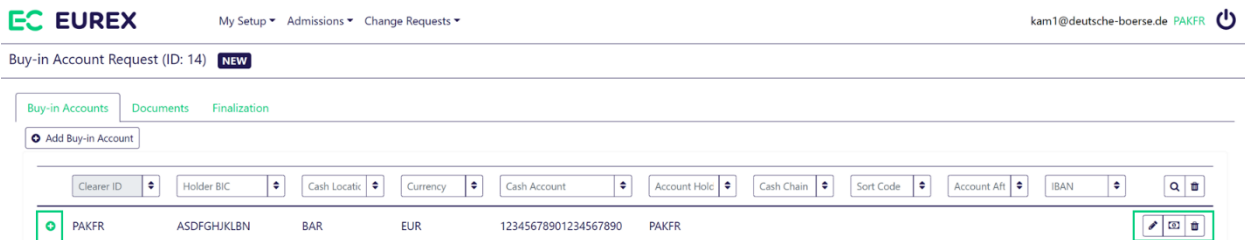



ID	mm/dd/yyyy	Clearer ID	Type	State	Created By	
13	5/31/2023	PAKFR		NEW	kam1@deutsche-boerse.de	
12	5/31/2023	PAKFR	SETUP	NEW	kam1@deutsche-boerse.de	
14	5/31/2023	PAKFR	SETUP	NEW	kam1@deutsche-boerse.de	

4.1 Change Account Setup

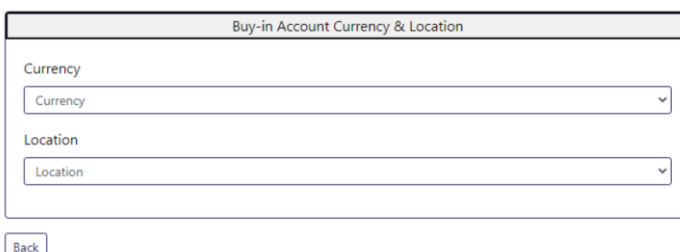
After clicking  , action icons on the right side can be accessed:   

The opened buy-in account setup can be edited by clicking  .



Clearer ID	Holder BIC	Cash Locatic	Currency	Cash Account	Account Hold	Cash Chain	Sort Code	Account Aft	IBAN	
PAKFR	ASDFGHJKLBN	BAR	EUR	12345678901234567890	PAKFR					

Request / [Buy-in Account Initial Setup](#) / [Buy-in Account Currency](#)



Buy-in Account Currency & Location

Currency
Currency

Location
Location

Back

4.1.1 Next steps

Please go on as described in chapter 3.4 to 3.8, as the next necessary steps are identical to finalize a buy-in account request.

4.2 Adding Currencies for the new Buy-in Account

If additional currencies need to be added, it can be done by clicking on the button “Add Currency”. Please select the additional and applicable values and enter the respective currency and click ”Next” to go on with the next steps.

Request / Buy-in Account Initial Setup / Buy-in Account Currency

Buy-in Account Currency & Location

Currency
Currency ▾

Cash Location:
BAR

Back

4.2.1 Next Steps


Please go on as described in chapter 3.4 to 3.8, as the next necessary steps are identical.

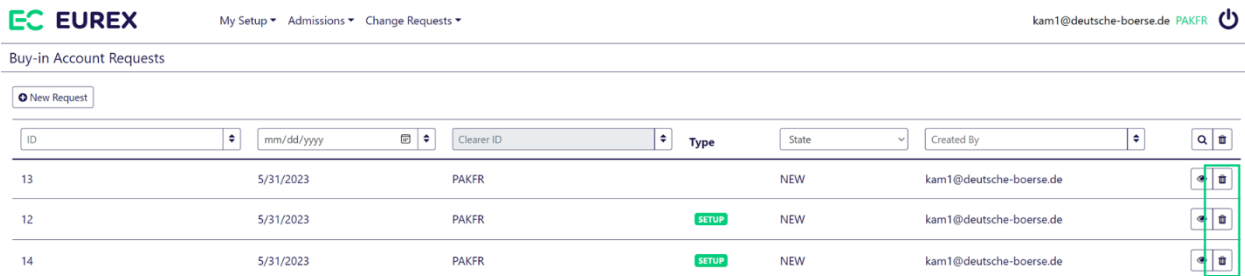
5 Deletion of Request or Account

This chapter describes how to delete a buy-in account request and a buy-in account.




5.1 Deletion of Buy-in Account Request

If you want to delete a buy-in request, select “Change Request” > “Buy-in Account Request”.

Then click  in the overview of the buy-in account requests. You will be asked to confirm the deletion of the buy-in account request.



Buy-in Account Requests

ID	mm/dd/yyyy	Clearer ID	Type	State	Created By	
13	5/31/2023	PAKFR		NEW	kam1@deutsche-boerse.de	
12	5/31/2023	PAKFR	SETUP	NEW	kam1@deutsche-boerse.de	
14	5/31/2023	PAKFR	SETUP	NEW	kam1@deutsche-boerse.de	





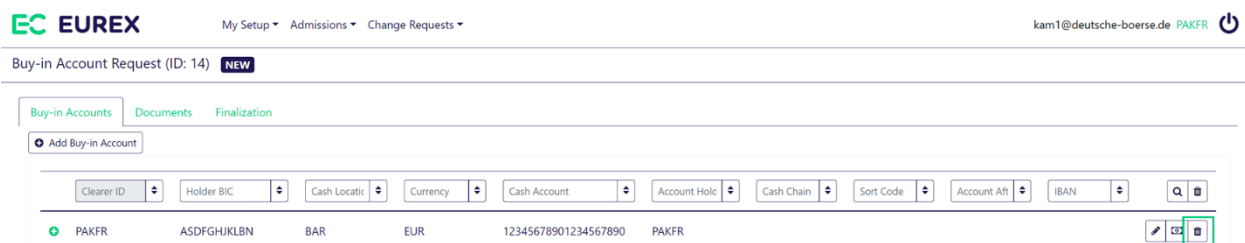
Cancel Buy-in Account Request

Do you want to cancel buy-in account request 13?

With clicking on “OK” the deletion is confirmed.

5.2 Deletion of a Buy-in Account


To delete a buy-in account open “Change Request” > “Buy-in Account Request”. Click on  of one of the requests. The “Buy-in Accounts” tab will then open. Click on  to delete the respective buy-in account.



Buy-in Account Request (ID: 14) NEW

Buy-in Accounts Documents Finalization

Add Buy-in Account

Clearer ID	Holder BIC	Cash Locati	Currency	Cash Account	Account Hold	Cash Chain	Sort Code	Account Aft	IBAN	
PAKFR	ASDFGHJKLBN	BAR	EUR	12345678901234567890	PAKFR					

Buy-in Account Request

Confirm the deletion by clicking “OK” . The account will then be removed out of the request and the setup.



Do you want to remove account
12345678901234567890?
