

Member Section Guide

DMP Coordinator (Deputy) assign/ change/ delete

December 2023



DMP Coordinator / DMP Deputy

New Reminder Functionality Implemented

Contact us:

Member Section Team

Tel: +49-69-211-1 78 88

09:00 am to 06:00 pm CEST

member.section@deutsche-boerse.com

Background

DMP
Coordinator/
DMP Deputy

- With respect to the Default Management Process (DMP), Eurex Clearing foresees according to the Clearing Conditions Chapter I, Part 1, 7.5 (4) of Eurex Clearing, that each Clearing Member shall appoint at least one employee as coordinator (“DMP Coordinator”) and another of its employees as a deputy (“DMP-deputy”).
- Both the DMP Coordinator and the DMP Deputy serve as Central contacts for all general matters related to the DMP of an institution (circular and e-mails about fire drill, real event, Default Fund utilization etc. that is relevant for the respective institution).

Whats new?

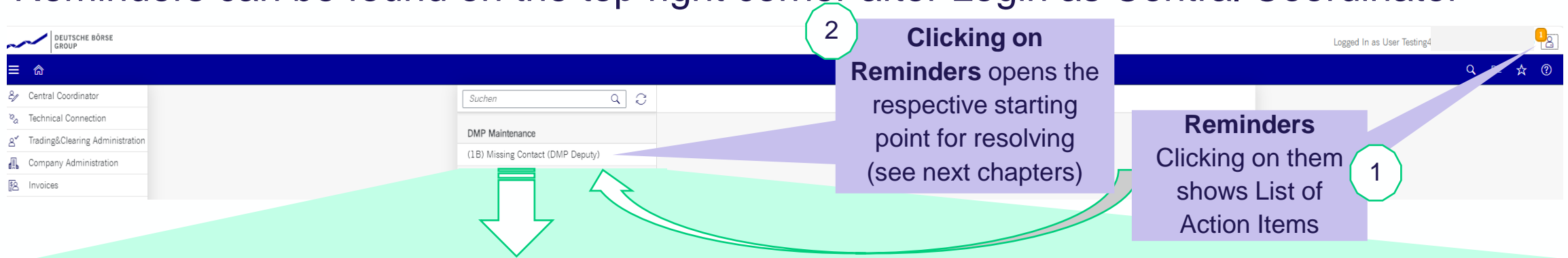
- **What:** Regular Reminders will be issued to Central Coordinator in the Member Section
- **When:** In case DMP Coordinator/ DMP Deputy Data are missing / outdated (i.e. no change/ confirmation was observed within the last 180 calendar days).

Key Principles
of DMP
Coordinator/
DMP Deputy
Role
assignments

- A Clearing Member must nominate at least one DMP Coordinator and one deputy.
- It is the responsibility of the Clearing Member to inform Eurex Clearing of role assignments through the Member Section. <https://membersection.deutsche-boerse.com/>
- An individual can carry 1 to n Liquidation Group Assignments per Role. Assignments of Liquidation Groups can be split amongst individuals.
- The List of Liquidation Groups can be found here: <https://www.eurexclearing.com/clearing-en/services/risk-parameters>

Reminder Types:

Reminders can be found on the top right corner after Login as Central Coordinator



Reminder Types	Description / What is required	MITIGATION
MISSING CONTACT - (DMP Coordinator)	No DMP Coordinator maintained on Business Partner Level. Please appoint at least one employee as a DMP Coordinator and another employee as "DMP-deputy" for your entity.	See Chapter 2 (existing contact) <i>Potentially also steps described in Chapter 4 (a new contact needs to be setup)</i>
MISSING CONTACT - (DMP Deputy)	No DMP Deputy maintained on Business Partner Level. Please appoint at least one employee as a DMP Coordinator and another employee as "DMP-deputy" for your entity,	
MISSING INFORMATION - [Name] - (DMP Coordinator)	Contact Data for DMP Coordinator not sufficient. Please complete missing fields.	Pls go to referenced contact and validate Data fields.
MISSING INFORMATION - [Name] - (DMP Deputy)	Contact Data for DMP Coordinator not sufficient. Please complete missing fields.	
OUTDATED INFO - [Name] - (DMP Coordinator)	Outdated Information (DMP Coordinator): Please review contact details and "DMP Coordinator" role/ LG assignments and update / confirm them.	See Chapter 2 (existing contact) <i>Potentially also steps described in Chapter 3 (in case contact is no longer DMP Coordinator / DMP Deputy) + Chapter 4 (a new contact needs to be setup)</i>
OUTDATED INFO - [Name] - (DMP Deputy)	Outdated Information (DMP Deputy): Please review contact details and "DMP Deputy" role/ LG assignments and update / confirm them.	
MISSING LG ASSIGNMENT - (DMP Coordinator)	No Liquidation Group assigned for DMP Coordinator. Pls. ensure Liquidation Group Assignments for the DMP Coordinator(s)	Pls go to referenced contact and validate Data fields.
MISSING LG ASSIGNMENT - (DMP Deputy)	No Liquidation Group assigned for DMP Deputy. Pls. ensure Liquidation Group Assignments for the DMP Deputy / Deputies	

1 How to find out who is currently assigned as DMP Coordinator / DMP Deputy

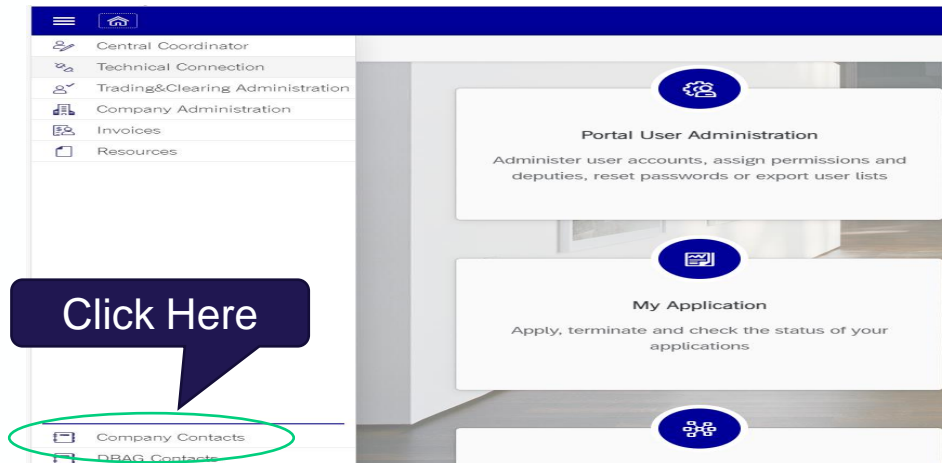
How to find out who is currently assigned as DMP Coordinator / DMP Deputy

Step 1

In Member Section, click on Company Contacts on bottom left
<https://membersection.deutsche-boerse.com/>

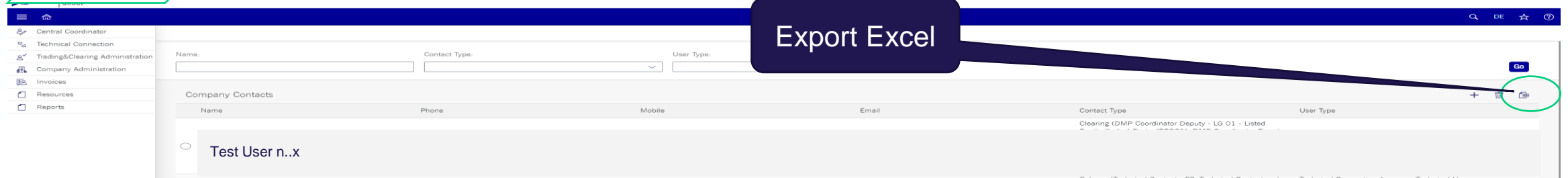
Step 3

In exported File, Filter in Column “Contact Types” for all entries starting with “DMP Coordinator” or “DMP Coordinator Deputy”



Step 2

In Company Contacts, export all contacts into Excel



2 How to assign a DMP Coordinator / Deputy role to an (existing) contact within Member Section

How to assign a DMP Coordinator / Deputy role to an (existing) contact within Member Section (1)

Step 1

<https://membersection.deutsche-boerse.com/>

Central Coordinator

Technical Connection

Trading&Clearing Administration

Company Administration

Invoices

Resources

Company Contacts

DBAG Contacts

Portal User Administration

Administer user accounts, assign permissions and deputies, reset passwords or export user lists

My Application

Apply, terminate and check the status of your applications



Step 2

- Select User to which role shall be assigned.
- If a person is not on the list → Chapter 4

Central Coordinator

Technical Connection

Trading&Clearing Administration

Company Administration

Invoices

Resources

Test User 1

Phone # 1

Mobile # 1

Test User 2

Phone # 2

Mobile # 2

Test User n..x

Phone # n..x

Mobile # n..x

Compar

Click one contact

How to assign a DMP Coordinator / Deputy role to an (existing) contact within Member Section (2)

Step 3 Select "Clearing" Category

Step 4 Add Role DMP Coordinator / DMP Deputy by adding Category

Click Here

2. Add Category first

3. Drop down Category and click DMP Coordinator (Deputy)

How to assign a DMP Coordinator / Deputy role to an (existing) contact within Member Section (3)

Step 5

Select "Clearing" Category

Step 6

Add Liquidation Group (LG) Responsibilities of the respective person and confirm with "Save"

Company Contacts

Clearing + Add Category ×

Category: Default Management - DMP Coordinator

Liquidation Groups

<input checked="" type="checkbox"/>	LG 01 - Listed Equity (Index) Deriv. (PEQ01)	<input checked="" type="checkbox"/>	LG 02 - Listed Fixed Income Deriv. (PFI01)
<input type="checkbox"/>	LG 03 - OTC Interest Rate Deriv. (PFI01)	<input type="checkbox"/>	LG 04 - Asian cooperation KOSPI Deriv. (PAC01)
<input type="checkbox"/>	LG 05 - Asian cooperation KRW FX Deriv. (PAF01)	<input type="checkbox"/>	LG 06 - Commodity (Index) Deriv (PCM01)
<input type="checkbox"/>	LG 07 - Precious Metal Deriv. (PPM01)	<input type="checkbox"/>	LG 08 - FX Deriv. (PFX01)
<input type="checkbox"/>	LG 09 - FX NDF Liqui. (PNF01)	<input type="checkbox"/>	LG 10 - Corporate Bond Liqui. (PCB01)
<input checked="" type="checkbox"/>	LG 11 - Deriv. on Fixed Income ETFs (PEF01)	<input type="checkbox"/>	LG 12 - Collateral Index LG (PEQCO)
<input type="checkbox"/>	LG 13 - Crypto Deriv. (PCY01)	<input type="checkbox"/>	LG 14 - Bond/ Repo/ GC Pooling legal LG (PBN01)
<input type="checkbox"/>	LG 15 - FWB Cash Market legal LG (FWB)		

Alternative Contact Data

Phone Number

Mail Address

Save Cancel

Tick boxes to add/change LGs

Not mandatory. If used will be effective for all Roles within Clearing Category

Click „Save“

3 How remove a DMP Coordinator / DMP Deputy assignment from a contact

How to remove a DMP Coordinator / Deputy role

Steps 1-3

Steps 1- 3 are identical to “Assign” Scenario described in Chapter 2

Company Contacts

Test User2

GENERAL INFORMATION CONTACT TYPES

*Title: [v]

*First Name: Test

*Last Name: User2

CONTACT TYPES

- Compliance
- Clearing
- KYC
- Regulatory

Step 4a

When multiple Categories are available: Delete role assignment and confirm with “Save”

Clearing

+ Add Category X

*Category Default Management - DMP Coordinator

Liquidation Groups

- LG 01 - Listed Equity (Index) Deriv. (PEQ01)
- LG 02 - Listed Fixed Income Deriv. (PFI02)
- LG 03 - OTC Interest Rate Deriv. (PFI01)
- LG 04 - Asian cooperation KOS (PAF04)
- LG 05 - Asian cooperation KRW FX Deriv. (PAF01)
- LG 06 - Commodity (Ind (PCY06))
- LG 07 - Precious Metal Deriv. (PPM01)
- LG 08 - FX Deriv. (PFX08)
- LG 09 - FX NDF Liqu. (PNF09)
- LG 11 - Deriv. on Fixed Inc (PFI11)
- LG 13 - Crypto Deriv. (PCY13)
- LG 15 - FWB Cash Market (PCY15)

*Category Risk

Available 24/7

Available From h:mm:ss a

Available To h:mm:ss a

If contacts have multiple roles under „Clearing“, click this icon to delete Role Assignment of DMP Coordinator/ DMP Deputy. LG responsibilities can be updated by changing different boxes

Step 4b

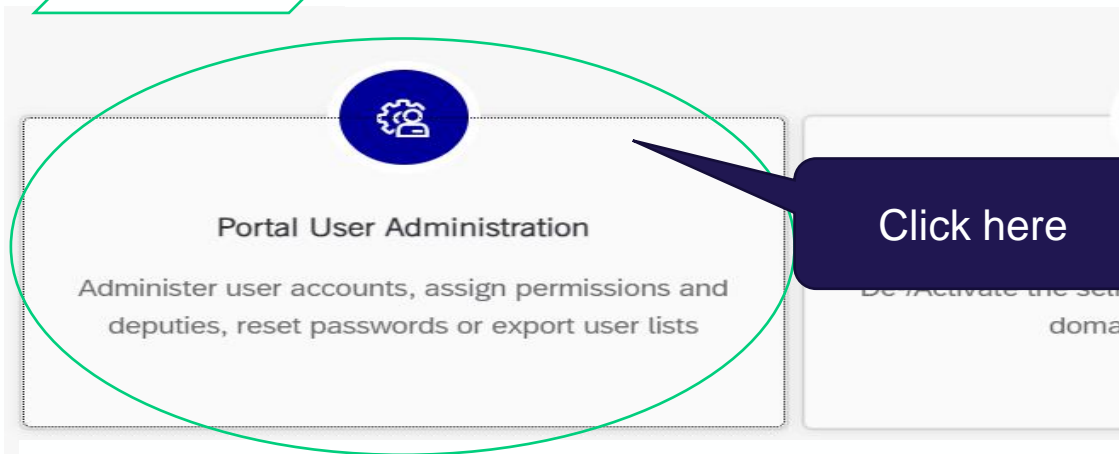
When only 1 Category within “Clearing” is selected: Delete role assignment by unselecting the “Clearing” Tickbox and confirm with “Save”

4 How to setup a new contact and assign a DMP Coordinator/ DMP Deputy Role

How to assign a DMP Coordinator / Deputy role to an (new) contact within Member Section

Step 1

Go to Portal User Administration



Step 3

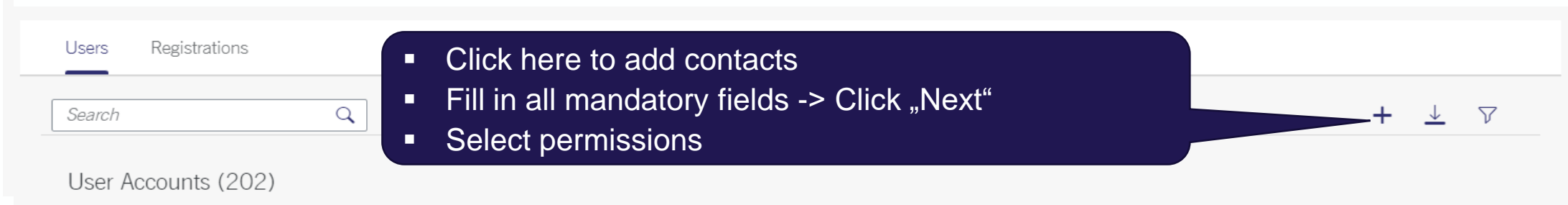
User receives Email with Activation Link to be confirmed.

Step 4

Once confirmed, DMP Coordinator / Deputy Role can be assigned as described in Chapter 2

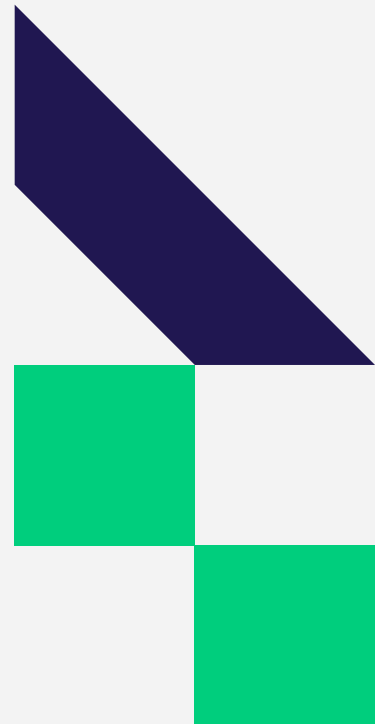
Step 2

Add User Name details and set permissions





5 Appendix



Role Assignment

DMP Coordinator / DMP Deputy

DMP Coordinators are maintained in the Member Section by the Clearing Member:

[Member Section - Deutsche Börse Group \(deutsche-boerse.com\)](https://www.deutsche-boerse.com)

Here also more supporting materials can be found.

A detailed guide on how to maintain contacts on the member section is provided here:

[210709 Assign a Contact Type Guide \(eurex.com\)](https://www.eurex.com/210709-Assign-a-Contact-Type-Guide)

In case of any questions or if you require further information, please contact us via email:

member.section@deutsche-boerse.com or via phone: +49-69-211-1 78 88.

Default related Roles and Responsibilities

DMP Coordinator vs. Business Manager and Bidders

Eurex Clearing foresees four roles for a Clearing Member to participate in the Default Management Process (DMP):

<p>Member Section</p> <p>DMP Coordinator/ DMP Deputy</p>	<p>DMP Coordinator / DMP Deputy</p> <ul style="list-style-type: none"> With respect to the Default Management Process (DMP), Eurex Clearing foresees according to the Clearing Conditions Chapter I, Part 1, 7.5 (4) of Eurex Clearing, that each Clearing Member shall appoint <u>at least one</u> employee as coordinator (“DMP Coordinator”) and another of its employees as a deputy (“DMP-deputy”). Central contacts for all general matters related to the DMP of an institution (circular and e-mails about fire drill, real event, Default Fund utilization etc. that is relevant for the respective institution). Participants should keep those contact details up to date for a smooth communication. https://membersection.deutsche-boerse.com/ Maintained in Member Section NEW: Regular Reminders will be generated to Central Coordinator in the Member Section in case DMP Coordinator/ DMP Deputy Data are missing / outdated. 			
<p>Eurex Clearing Auction Tool (ECAT)</p> <p>Business Manager + Bidders</p>	<table border="0"> <tr> <td data-bbox="438 939 1439 1316"> <p>Business Manager (ECAT*)</p> <ul style="list-style-type: none"> Maintained in Eurex Clearing Auction Tool (ECAT) Operational Contact during a DMP (fire drill) with regards to a Liquidation Group, e.g. for confirmation of preparedness for auctions Shall be able to identify bidders and assign them to LG(s) in ECAT. </td> <td data-bbox="1439 939 2433 1316" style="text-align: center; vertical-align: middle;">+</td> <td data-bbox="1439 939 2433 1316"> <p>Bidder (ECAT*) https://auction.eurexclearing.com</p> <ul style="list-style-type: none"> Responsible for pricing of an auction portfolio, participates in Independent Sales. A Bidder is assigned to a specific Liquidation Group ensemble (e.g.). Bidders are maintained in the Eurex Clearing Auction Tool by the Business Manager. </td> </tr> </table>	<p>Business Manager (ECAT*)</p> <ul style="list-style-type: none"> Maintained in Eurex Clearing Auction Tool (ECAT) Operational Contact during a DMP (fire drill) with regards to a Liquidation Group, e.g. for confirmation of preparedness for auctions Shall be able to identify bidders and assign them to LG(s) in ECAT. 	+	<p>Bidder (ECAT*) https://auction.eurexclearing.com</p> <ul style="list-style-type: none"> Responsible for pricing of an auction portfolio, participates in Independent Sales. A Bidder is assigned to a specific Liquidation Group ensemble (e.g.). Bidders are maintained in the Eurex Clearing Auction Tool by the Business Manager.
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